Sec File No.29 - Cortion

Submit 1 Original and 9 Copies

OMB APPROVAL OMB Number: 3235-0504 August 31, 2010 Expires: Estimated average burden

hours per response.....3.60

Washington DC SECURITIES AND EXCHANGE COMMISSION
Washington DC 20510

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

Name of Underlying Instrument:

LibertyQ U.S. Mid Cap Equity Index

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Bas Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product:

**FLQS** 

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)

Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

**Assistant Corporate Secretary** 

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for B

17002829

RECEIVED

Date: May 03, 2017

Act

Securities Exchange Act of 1934

SEC 2449 (6-01)

MAY 1 1 2017